

**FORM NO. MGT 9**  
**EXTRACT OF ANNUAL RETURN**  
**as on the Financial Year ended on 31.03.2019**

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

**I REGISTRATION & OTHER DETAILS:**

i	CIN	U65993GJ1986PLC085128
ii	Registration Date	16/12/1986
iii	Name of the Company	Ascom Leasing & Investments Limited
iv	Category/Sub-category of the Company	Company Limited By Shares /Indian Non-Government Company
v	Address of the Registered office & contact details	331, 3rd floor, Four Point complex, Vesu, besides Maniba Park, Surat, Gujarat-395007
vi	Whether listed company	Listed on Emerge Platform of NSE
vii	Name, Address & contact details of the Registrar & Transfer Agent, if any.	Skyline Financial Services Private Ltd

**II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY**

All the business activities contributing 10% or more of the total turnover of the Company shall be stated

SL No	Name & Description of main products/services	NIC Code of the Product /service	% to total turnover of the company
1	Financial services	6499	98.17

**III PARTICULARS OF HOLDING, SUBSIDIARY & ASSOCIATE COMPANIES**

Sl. No	Name & Address of the Company	CIN/GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% OF SHARES HELD	APPLICABLE SECTION
NA	NA	NA	NA	NA	NA

**IV SHAREHOLDING PATTERN (Equity Share Capital Break up as % to total Equity)****i. Category-wise Shareholding**

Category of Shareholders	No. of Shares held at the beginning of the year	No. of Shares held at the end of the year	% change during the year
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C) Central govt.	-	-	-	-	-	-	-	-	-
d) State Govt.	-	-	-	-	-	-	-	-	-
e) Venture Capital Fund	-	-	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-	-	-
g) FIIS	-	-	-	-	-	-	-	-	-
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i) Others (Specify)	-	-	-	-	-	-	-	-	-
<b>SUB TOTAL (B)(1):</b>	-	-	-	-	-	-	-	-	-
<b>(2) Non Institutions</b>	-								
a) Bodies corporates	-								
<b>i) Indian</b>	-	-	-	-	6,56,000	-	6,56,000	8.4	8.4
<b>ii) Overseas</b>	-	-	-	-	-	-	-	-	-
b) Individuals	-								
i) Individual shareholders holding nominal share capital upto Rs.1 lakhs	-	-	-	-	3,20,000	-	3,20,000	4.11	4.11
ii) Individuals shareholders holding nominal share capital in excess of Rs. 1 lakhs	-	-	-	-	11,92,010	-	11,92,010	15.25	15.25
c) Others: Family Trust	-	-	-	-	-	-	-	-	-
<b>SUB TOTAL (B)(2):</b>	-	-	-	-	-	-	-	-	-
<b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>	-	-	-	-	-	-	-	-	-
<b>C. Shares held by Custodian for GDRs &amp; ADRs</b>	-	-	-	-	-	-	-	-	-
<b>Grand Total (A+B+C)</b>		<b>52,42,953</b>	<b>52,42,953</b>	<b>91.96</b>	<b>78,09,453</b>		<b>78,09,453</b>	<b>100</b>	<b>8.04</b>

(ii)  
) **SHARE HOLDING OF PROMOTERS**

Sl. No.	Shareholders Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	
1	Tushar Rohitbhai Pandya	38,68,853	49.54	-	38,68,853	49.54	-	-
2	Rupalben Tushar Pandya	11,39,090	14.49	-	11,39,090	14.49	-	-
3	Rohitbhai Balvantrai Pandya	60,000	2	-	60,000	2	-	-
4	Tushar Rohitkumar Pandya HUF	2,42,500	3.11	-	2,42,500	3.11	-	-
5	Suvidya Rohitkumar Pandya	1,75,000	2.24	-	1,75,000	2.24	-	-
6	Rohitkumar Balvantrai Pandya HUF	1,56,000	0.77	-	1,56,000	0.77	-	-
	<b>Total</b>	<b>5641443</b>	<b>72.24</b>	<b>-</b>	<b>5641443</b>	<b>72.24</b>	<b>-</b>	<b>-</b>

**(iii) CHANGE IN PROMOTERS' SHAREHOLDING (SPECIFY, IF THERE IS NO CHANGE): NO CHANGE IN PROMOTER'S SHAREHOLDING DURING THE YEAR**

Sl. No.		Shareholding at the beginning of the Year		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year				

	Date wise increase/decrease in Promoters Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc.)				
	At the end of the year				

**Shareholding Pattern of top ten Shareholders (other than Directors, Promoters & Holders of (iv) GDRs & ADRs)**

Sl. No	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No of shares	% of total shares of the company
1	<b>ZULFIKAR RAJABALI BADAMI</b>				
	At the beginning of the year	0	0	308000	3.94
	Date wise increase/decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc.)				
	At the end of the year (or on the date of separation, if separated during the year)	0	0	308000	3.94
Sl. No	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
2	<b>SUROHI SERVICES LLP</b>	No. of shares	% of total shares of the company	No of shares	% of total shares of the company
	At the beginning of the year	0	0	252000	3.23
	Date wise increase/decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc.)				
	At the end of the year (or on the date of separation, if separated during the year)	0	0	252000	3.23

Sl. No	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No of shares	% of total shares of the company
3	<b>JAY ARUNKUMAR NAIK</b>				
	At the beginning of the year	0	0	244000	3.12
	Date wise increase/decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc.)				
	At the end of the year (or on the date of separation, if separated during the year)	0	0	244000	3.12
Sl. No	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
4	<b>PRATHMESHWARA ASSOCIATES LLP</b>	No. of shares	% of total shares of the company	No of shares	% of total shares of the company
	At the beginning of the year	0	0	236000	3.02
	Date wise increase/decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc.)				
	At the end of the year (or on the date of separation, if separated during the year)	0	0	236000	3.02

**(v) Shareholding of Directors & Key Managerial Personnel**

Sl. No	For Each of the Directors & KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No of shares	% of total shares of the company
	<b>Tushar Pandya Rohitbhai</b>				
	At the beginning of the year	38,68,853	67.85	38,68,853	49.54

	Date wise increase/decrease in Promoters Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	Nil	Nil	Nil	Nil
	At the end of the year	38,68,853	67.85	38,68,853	49.54

Sl. No	For Each of the Directors & KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No of shares	% of total shares of the company
	Mrs. Rupalben Tushar Pandya				
	At the beginning of the year	11,39,090	19.97	11,39,090	14.59
	Date wise increase/decrease in Promoters Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	Nil	Nil	Nil	Nil
	At the end of the year	11,39,090	19.97	11,39,090	14.59

Sl. No	For Each of the Directors & KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No of shares	% of total shares of the company
	Rohitkumar Balvantraai Pandya				
	At the beginning of the year	60,000	1.05	60,000	0.77
	Date wise increase/decrease in Promoters Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	Nil	Nil	Nil	Nil
	At the end of the year	60,000	1.05	60,000	0.77

## V INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment				
	Secured Loans excluding Deposits	Unsecured Loans	Deposits	Total Indebtedness (In Lacs)
Indebtedness at the beginning of the financial year				

i. Principal Amount	39,085,767	64,275,936	-	103,361,703
ii. Interest due but not paid	-	-	-	-
iii. Interest accrued but not due	-	-	-	-
<b>TOTAL</b>	<b>39,085,766</b>	<b>64,275,936</b>	<b>-</b>	<b>103,361,703</b>
<b>Change in Indebtedness during Year</b>	-	-	-	-
Additions			-	5,36,33,499
Reductions	56,90,298	17,83,242	-	74,73,540
<b>Net Change</b>				
<b>Indebtedness at the end of Year</b>				
i. Principal Amount	33,395,469	62,492,694	-	95,888,163
ii. Interest due but not paid	-	-	-	-
iii. Interest accrued but not due				
<b>Total (i+ii+iii)</b>	<b>33,395,469</b>	<b>62,492,694</b>	<b>-</b>	<b>95,888,163</b>

## VI REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

### A. Remuneration to Managing Director, Whole time director and/or Manager:

Sl. No.	Particular of Remuneration	Name of MD/WTD/Manager					Total
		Tushar Rohitbhai Pandya	Rupalben Tushar Pandya				15,042,000
1	Gross Salary	8,070,000	6,972,000	-	-	-	
	a) Salary as per provisions contained in Section 17(1) of Income Tax Act, 1961	-	-	-	-	-	
	b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961	-	-	-	-	-	
	c) Profit in lieu of salary u/s 17(3) of the Income Tax	-	-	-	-	-	



	Act, 1961						
2	Stock Option	-	-	-	-	-	
3	Sweat Equity	-	-	-	-	-	
4	Commission	-	-	-	-	-	
	Others, please specify	-	-	-	-	-	
	<b>TOTAL</b>	8,070,000	6,972,000	-	-	-	15,042,000
	<b>Ceiling as per the Act</b> (10% of Net Profit calculated as per section 198 of Companies Act, 2013)	-	-	-	-	-	-

**B. Remuneration to other directors: Nil**

Sl. No.	Particular of Remuneration	Name of Directors			Total Amount
1	<b>Independent Directors</b>				
	a) Fees for attending Board and Committee meetings				
	b) Commission				
	c) Other, please specify				
	<b>TOTAL (1)</b>				
2	<b>Other Non-Executive Directors</b>				
	a) Fees for attending Board and Committee meetings				
	b) Commission				
	c) Other, please specify (Retainership Fee-Professional capacity)				

	<b>TOTAL (2)</b>			
	<b>TOTAL (1+2)</b>			
	<b>Total managerial remuneration</b>			
	<b>Ceiling as per the Act</b> (10% of Net Profit calculated as per section 198 of Companies Act, 2013)			

**C. Remuneration to Key Managerial Personnel other than MD/ WTD/ Manager**

Sl. No.	Particular of Remuneration	Name of Key Managerial Personnel			Total
		Sweta Vipinchandra Shah			4,40,000
		Chief Financial Officer			
1	Gross Salary	4,40,000	-	-	
	a) Salary as per provisions contained in Section 17(1) of Income Tax Act, 1961	-	-	-	
	b) Value of perquisites	-	-	-	
	c) Profit in lieu of salary	-	-	-	
2	Stock Option	-	-	-	
3	Sweat Equity	-	-	-	
4	Commission	-	-	-	
	Others, please specify	-	-	-	
	<b>TOTAL</b>	<b>4,40,000</b>	-	-	<b>4,40,000</b>

**VII PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES - NA**

Type	Section of Companies Act	Brief Description	Details of Penalty/Punishment/Compounding fees imposed	Authority (RD/NCLT/Court)	Appeal made if any (give details)
<b>A. Company</b>	-	-	-	-	-
Penalty	-	-	-	-	-

Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
	-	-	-	-	-
<b>B. Directors</b>	-	-	-	-	-
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
	-	-	-	-	-
<b>C. Other Officers in Default</b>	-	-	-	-	-
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-

**Date:**  
**Place:** Surat

For & on behalf of  
**Ascom Leasing & Investments Limited**

Rupalben Tushar Pandya  
Director  
DIN: 06396751  
Add: 8, Shree Darshan Soc., Near  
Jamna Nagar Bus Stop, Ghod  
Dod Road, Sunavali, Surat-  
395001

Tushar Rohitbhai Pandya  
Director  
DIN: 03264783  
Add: 8, Shree Darshan Soc., Near  
Jamna Nagar Bus Stop, Ghod Dod Road,  
Sunavali,  
Surat- 395001