

FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN
as on the Financial Year ended on 31.03.2021

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I REGISTRATION & OTHER DETAILS:

I	CIN	U65993GJ1986PLC085128
ii	Registration Date	16/12/1986
iii	Name of the Company	Ascom Leasing & Investments Limited
Iv	Category/Sub-category of the Company	Company Limited By Shares /Indian Non-Government Company
V	Address of the Registered office & contact details	331, 3rd floor, Four Point complex, Vesu, besides Maniba Park, Surat, Gujarat-395007
Vi	Whether listed company	Listed on Emerge Platform of NSE
Vii	Name, Address & contact details of the Registrar & Transfer Agent, if any.	Skyline Financial Services Private Ltd

II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the Company shall be stated

SL No	Name & Description of main products/services	NIC Code of the Product /service	% to total turnover of the company
1	Financial services	6499	100

III PARTICULARS OF HOLDING, SUBSIDIARY & ASSOCIATE COMPANIES

Sl. No	Name & Address of the Company	CIN/GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% OF SHARES HELD	APPLICABLE SECTION
NA	NA	NA	NA	NA	NA

(1) Institutions	-	-	-	-	-	-	-	-	-
a) Mutual Funds	-	-	-	-	-	-	-	-	-
b) Banks/FI	-	-	-	-	-	-	-	-	-
c) Central govt.	-	-	-	-	-	-	-	-	-
d) State Govt.	-	-	-	-	-	-	-	-	-
e) Venture Capital Fund	-	-	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-	-	-
g) FIIS	-	-	-	-	-	-	-	-	-
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i) Others (Specify)	-	-	-	-	-	-	-	-	-
SUB TOTAL (B)(1):	-	-	-	-	-	-	-	-	-
(2) Non Institutions	-								
a) Bodies corporates	-								
i) Indian	656000		656000	8.4	712000		712000	9.12	0.72
ii) Overseas	-	-	-	-	-	-	-	-	-
b) Individuals	-								
i) Individual shareholders holding nominal share capital upto Rs.1 lakhs	260000	-	260000	3.33	68000	-	68000	0.87	-2.46
ii) Individuals shareholders holding nominal share capital in excess of Rs. 1 lakhs	1192010	-	1192010	15.26	1220010	-	1220010	15.62	0.36
c) Others: HUF	60000	-	60000	0.77	32000	-	32000	0.41	-0.36
SUB TOTAL (B)(2):	-	-	-	-	-	-	-	-	-
Total Public Shareholding (B)= (B)(1)+(B)(2)	2168010	-	2168010	27.76	2168010	-	2168010	27.76	-1.74

C. Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-
Grand Total (A+B+C)	7809453		7809453	100	7809453		7809453	100	0.00

(ii) SHARE HOLDING OF PROMOTERS

Sl. No.	Shareholders Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	
1	Tushar Rohitbhai Pandya	38,68,853	49.54	-	4004853	51.28	-	1.74
2	Rupalben Tushar Pandya	11,39,090	14.49	-	11,39,090	14.49	-	-
3	Rohitbhai Balvantrai Pandya	60,000	2	-	60,000	2	-	-
4	Tushar Rohitkumar Pandya HUF	2,42,500	3.11		2,42,500	3.11	-	-
5	Suvidya Rohitkumar Pandya	1,75,000	2.24		1,75,000	2.24	-	-
6	Rohitkumar Balvantrai Pandya HUF	1,56,000	0.77		1,56,000	0.77	-	-
	Total	5641443	72.24	-	5777443	73.89	-	1.74

(iii) CHANGE IN PROMOTERS' SHAREHOLDING (SPECIFY, IF THERE IS NO CHANGE):

Sl. No.		Shareholding at the beginning of the Year		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the company	No. of shares	% of total shares of the company
	TUSHAR ROHITBHAI PANDYA				
	At the beginning of the year	3868853	49.54	3868853	49.54
	Date wise increase/decrease in Promoters Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc.)	76000 Shares Purchase on 07/08/2020 56000 Shares Purchase on 14/08/2020 4000 Shares Purchase on 28/08/2020 4000 Shares Purchase on 18/09/2020 4004853 Shares Sell on 23/10/2020 4004853 Shares Purchase on 23/10/2020 4004853 Shares Sell on 30/10/2020 4004853 Shares Sell on 06/11/2020 4004853 Shares Purchase on 27/11/2020			
	At the end of the year	4004853	51.28		

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters & Holders of GDRs & ADRs)

S. No	Name of Shareholder	No of Shares Held	% To the Total Shares	Transaction Date	Increase /Decrease	Reason	as on 31/03/2021	% of Total Shares of the Company
1	ZULFIKAR RAJABALI BADAMI	308000	3.94					
				10-Apr-2020	-4000	Sale	304000	3.89
				08-May-2020	-4000	Sale	300000	3.84
				22-May-2020	4000	Purchase	304000	3.89
				28-Aug-2020	-4000	Sale	300000	3.84
						Balance	300000	3.84
2	PRATHMESHWARA ASSOCIATES LLP	236000	3.02					
				01-May-2020	4000	Purchase	240000	3.07
				15-May-2020	4000	Purchase	244000	3.12
				29-May-2020	12000	Purchase	256000	3.28
				26-Jun-2020	4000	Purchase	260000	3.33
				30-Jun-2020	28000	Purchase	288000	3.69
				16-Oct-2020	4000	Purchase	292000	3.74
				27-Nov-2020	-8000	Sale	284000	3.64
				25-Dec-2020	4000	Purchase	288000	3.69
						Balance	288000	3.69
3	SUROHI SERVICES LLP	252000	3.23					
				26-Jun-2020	4000	Purchase	256000	3.28
						Balance	256000	3.28
4	JAY ARUNKUMAR NAIK	244000	3.12					
						Balance	244000	3.12
5	BHAVNABEN ARUNKUMAR NAIK	144000	1.84					
						Balance	144000	1.84
6	JAINAM SHARE CONSULTANTS PRIVATE LIMITED	108000	1.38					
						Balance	108000	1.38
7	PAULOMI JAY NAIK	100000	1.28					
						Balance	100000	1.28
8	ANJUM ZULFIKAR BADAMI	96000	1.23					
						Balance	96000	1.23
9	INSHAFATEMA ZULFIKAR BADAMI	92000	1.18					
						Balance	92000	1.18
10	JAYSHRIBEN PATHAK	88000	1.13					
						Balance	88000	1.13

(v) Shareholding of Directors & Key Managerial Personnel

Sl. No	For Each of the Directors & KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No of shares	% of total shares of the company
	Tushar Pandya Rohitbhai				
	At the beginning of the year	38,68,853	67.85	38,68,853	49.54
	Date wise increase/decrease in Promoters Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	76000 Shares Purchase on 07/08/2020 56000 Shares Purchase on 14/08/2020 4000 Shares Purchase on 28/08/2020 4000 Shares Purchase on 18/09/2020 4004853 Shares Sell on 23/10/2020 4004853 Shares Purchase on 23/10/2020 4004853 Shares Sell on 30/10/2020 4004853 Shares Sell on 06/11/2020 4004853 Shares Purchase on 27/11/2020			
	At the end of the year	4004853	51.28	4004853	51.28

Sl. No	For Each of the Directors & KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No of shares	% of total shares of the company
	Mrs. Rupalben Tushar Pandya				
	At the beginning of the year	11,39,090	19.97	11,39,090	14.59
	Date wise increase/decrease in Promoters Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	Nil	Nil	Nil	Nil
	At the end of the year	11,39,090	19.97	11,39,090	14.59

Sl. No	For Each of the Directors & KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No of shares	% of total shares of the company
	Rohitkumar Balvantrai Pandya				
	At the beginning of the year	60,000	1.05	60,000	0.77

	Date wise increase/decrease in Promoters Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	Nil	Nil	Nil	Nil
	At the end of the year	60,000	1.05	60,000	0.77

V INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment				
	Secured Loans excluding Deposits	Unsecured Loans	Deposits	Total Indebtedness (In Lacs)
Indebtedness at the beginning of the financial year				
i. Principal Amount	33,395,469	62,492,694	-	95,888,163
ii. Interest due but not paid	-	-	-	-
iii. Interest accrued but not due	-	-	-	-
TOTAL	39085766	62,492,694	-	95,888,163
Change in Indebtedness during Year	-	-	-	-
Additions		16,90,429	-	16,90,429
Reductions	23,945,322		-	(23,945,322)
Net Change				
Indebtedness at the end of Year				
i. Principal Amount	94,50,147	64,183,123	-	73,633,270
ii. Interest due but not paid	-	-	-	-
iii. Interest accrued but not due				
Total (i+ii+iii)	94,50,147	64,183,123	-	73,633,270

VI REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole time director and/or Manager:

Sl. No.	Particular of Remuneration	Name of MD/WTD/Manager			Total
		Managing Director Tushar Rohitbhai Pandya	Whole time Director Rupalben Tushar Pandya		
1	Gross Salary	9960000	8496000	-	18,456,000
	a) Salary as per provisions contained in Section 17(1) of Income Tax Act, 1961	-	-	-	
	b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961	-	-	-	
	c) Profit in lieu of salary u/s 17(3) of the Income Tax Act, 1961	-	-	-	
2	Stock Option	-	-	-	
3	Sweat Equity	-	-	-	
4	Commission	-	-	-	
	Others, please specify	-	-	-	
	TOTAL	9960000	8496000	-	18,456,000
	Ceiling as per the Act (10% of Net Profit calculated as per section 198 of Companies Act, 2013)	-	-	-	-

B. Remuneration to other directors: Nil

Sl. No.	Particular of Remuneration	Name of Directors			Total Amount
1	Independent Directors				
	a) Fees for attending Board and Committee meetings				
	b) Commission				
	c) Other, please specify				
	TOTAL (1)				
2	Other Non-Executive Directors				
	a) Fees for attending Board and Committee meetings				
	b) Commission				
	c) Other, please specify (Retainership Fee- Professional capacity)				
	TOTAL (2)				
	TOTAL (1+2)				
	Total managerial remuneration				
	Ceiling as per the Act (10% of Net Profit calculated as per section 198 of Companies Act, 2013)				

C. Remuneration to Key Managerial Personnel other than MD/ WTD/ Manager

Sl. No.	Particular of Remuneration	Name of Key Managerial Personnel			Total
		Sweta Vipinchandra Shah			4,95,000
		Chief Financial Officer			
1	Gross Salary	4,95,000	-	-	
	a) Salary as per	-	-	-	

	provisions contained in Section 17(1) of Income Tax Act, 1961				
	b) Value of perquisites	-	-	-	
	c) Profit in lieu of salary	-	-	-	
2	Stock Option	-	-	-	
3	Sweat Equity	-	-	-	
4	Commission	-	-	-	
	Others, please specify	-	-	-	
	TOTAL	4,95,000	-	-	4,95,000

PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES - NA

VII

Type	Section of Companies Act	Brief Description	Details of Penalty/Punishment/Compounding fees imposed	Authority (RD/NCLT/Court)	Appeal made if any (give details)
A. Company	-	-	-	-	-
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
	-	-	-	-	-
B. Directors	-	-	-	-	-
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
	-	-	-	-	-
C. Other Officers in Default	-	-	-	-	-
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-

Date: 01/09/2021
Place: Surat

For & on behalf of
Ascom Leasing & Investments Limited

Rupalben Tushar Pandya
Director
DIN: 06396751
Add: 8, Shree Darshan Soc., Near
Jamna Nagar Bus Stop, Ghod
Dod Road, Sunavali, Surat-
395001

Tushar Rohitbhai Pandya
Director
DIN: 03264783
Add: 8, Shree Darshan Soc., Near
Jamna Nagar Bus Stop, Ghod Dod Road,
Sunavali,
Surat- 395001