

**FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN
as on the Financial Year ended on 31.03.2022**

**Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company
(Management & Administration) Rules, 2014.**

I REGISTRATION & OTHER DETAILS:

| | | |
|-----|--|--|
| i | CIN | L65993GJ1986PLC085128 |
| ii | Registration Date | 16/12/1986 |
| iii | Name of the Company | ASCOM LEASING & INVESTMENTS LIMITED |
| iv | Category/Sub-category of the Company | Company Limited By Shares /Indian Non-Government Company |
| v | Address of the Registered office & contact details | 331, 3rd floor, Four Point complex, Vesu, besides Maniba Park, surat Surat GJ 395007 |
| vi | Whether listed company | Yes, Listed on NSE |
| vii | Name, Address & contact details of the Registrar & Transfer Agent, if any. | Skyline Financial Services Private Ltd Off: D-153A, 1 st Floor, Okhla Industrial Area. Ph. -1, New Delhi - 110020. Ph. +91 11-64732681 |

II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the Company shall be stated

| SL No | Name & Description of main products/services | NIC Code of the Product /service | % to total turnover of the company |
|-------|--|----------------------------------|------------------------------------|
| 1 | Financial and insurance Service | 6499 | 100 |

III PARTICULARS OF HOLDING, SUBSIDIARY & ASSOCIATE COMPANIES

| Sl. No | Name & Address of the Company | CIN/GLN | HOLDING/SUBSIDIARY/ASSOCIATE | % OF SHARES HELD | APPLICABLE SECTION |
|--------|-------------------------------|---------|------------------------------|------------------|--------------------|
| NA | NA | NA | NA | NA | NA |

IV SHAREHOLDING PATTERN (Equity Share Capital Break up as % to total Equity)

| | | | | | | | | | |
|---|----------------|---|----------------|--------------|----------------|---|----------------|--------------|-------|
| a) Mutual Funds | | | | | | | | | |
| b) Banks/FI | | | | | | | | | |
| c) Central govt. | | | | | | | | | |
| d) State Govt. | | | | | | | | | |
| e) Venture Capital Fund | | | | | | | | | |
| f) Insurance Companies | | | | | | | | | |
| g) FIIS | | | | | | | | | |
| h) Foreign Venture Capital Funds | | | | | | | | | |
| i) Others (Specify) | | | | | | | | | |
| SUB TOTAL (B)(1): | | | | | | | | | |
| (2) Non Institutions | | | | | | | | | |
| a) Bodies corporates | | | | | | | | | |
| i) Indian | 712000 | - | 712000 | 9.12 | 840000 | - | 840000 | 10.76 | 1.64 |
| ii) Overseas | | | | | | | | | |
| b) Individuals | | | | | | | | | |
| i) Individual shareholders holding nominal share capital upto Rs.1 lakhs | 68000 | - | 68000 | 0.87 | 96000 | - | 96000 | 1.23 | 0.36 |
| ii) Individuals shareholders holding nominal share capital in excess of Rs. 1 lakhs | 1208010 | - | 1208010 | 15.47 | 1040010 | - | 1040010 | 13.32 | -2.15 |
| c) Others: | 44,000 | - | 44,000 | 0.56 | 56,000 | - | 56,000 | 0.71 | 0.15 |
| SUB TOTAL (B)(2): | 2032010 | - | 2032010 | 26.02 | 2032010 | - | 2032010 | 26.02 | - |
| Total Public Shareholding (B)= | 2032010 | - | 2032010 | 26.02 | 2032010 | - | 2032010 | 26.02 | - |

| | | | | | | | | | |
|--|----------------|----------|----------------|------------|----------------|----------|----------------|------------|----------|
| (B)(1)+(B)(2) | | | | | | | | | |
| C. Shares held by Custodian for GDRs & ADRs | | | | | | | | | |
| Grand Total (A+B+C) | 7809453 | - | 7809453 | 100 | 7809453 | - | 7809453 | 100 | - |

(i) SHARE HOLDING OF PROMOTERS

| Sl. No. | Shareholders Name | Shareholding at the beginning of the year | | | Shareholding at the end of the year | | | % change in shareholding during the year |
|---------|------------------------------|---|----------------------------------|--|-------------------------------------|----------------------------------|--|--|
| | | No of shares | % of total shares of the company | % of shares pledged encumbered to total shares | No of shares | % of total shares of the company | % of shares pledged encumbered to total shares | |
| 1 | Tushar Rohitbhai Pandya | 4004853 | 51.28 | - | 4004853 | 51.28 | - | - |
| 2 | Rupalben Tushar Pandya | 11,39,090 | 14.49 | - | 11,39,090 | 14.49 | - | - |
| 3 | Rohitkumar Balvantrai Pandya | 60,000 | 0.77 | - | 60,000 | 0.77 | - | - |
| 4 | Tushar Rohitkumar Pandya HUF | 2,42,500 | 3.11 | - | 2,42,500 | 3.11 | - | - |
| 5 | Suvidya Rohitkumar Pandya | 1,75,000 | 2.24 | - | 1,75,000 | 2.24 | - | - |
| 6 | Rohit Balvantrai Pandya HUF | 1,56,000 | 2.00 | - | 1,56,000 | 2.00 | - | - |
| | Total | 5777443 | 73.89 | - | 5777443 | 73.89 | - | - |

(iii) CHANGE IN PROMOTERS' SHAREHOLDING (SPECIFY, IF THERE IS NO CHANGE): No Change

| Sl. No. | | Shareholding at the beginning of the Year | | Cumulative Shareholding during the year | |
|---------|--|---|----------------------------------|---|----------------------------------|
| | | No. of Shares | % of total shares of the company | No. of shares | % of total shares of the company |
| | | | | | |

| | | | | | |
|--|------------------------------|--|--|--|--|
| | | | | | |
| | At the beginning of the year | | | | |
| | | | | | |
| | At the end of the year | | | | |

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters & Holders of GDRs & ADRs)

| Sr.No | Name of Shareholder | Shareholding As on 31/03/2021 | | Transaction Date | Increase /Decrease | Reason | Cummulative Shareholding Holding as on 31/03/2022 | % of Total Shares of the Company |
|-------|--|-------------------------------|-----------------------|------------------|--------------------|----------|---|----------------------------------|
| | | No of Shares Held | % To the Total Shares | | | | | |
| 1 | PRATHMESHWARA ASSOCIATES LLP | 288000 | 3.69 | | | | | |
| | | | | 23-Apr-2021 | -8000 | Sale | 280000 | 3.59 |
| | | | | 30-Apr-2021 | 16000 | Purchase | 296000 | 3.79 |
| | | | | 01-Oct-2021 | 4000 | Purchase | 300000 | 3.84 |
| | | | | 08-Oct-2021 | 16000 | Purchase | 316000 | 4.05 |
| | | | | 12-Nov-2021 | 4000 | Purchase | 320000 | 4.10 |
| | | | | | | Balance | 320000 | 4.10 |
| 2 | ZULFIKAR RAJABALI BADAMI | 300000 | 3.84 | | | | | |
| | | | | | | Balance | 300000 | 3.84 |
| 3 | SUROHI SERVICES LLP | 256000 | 3.28 | | | | | |
| | | | | 01-Oct-2021 | -4000 | Sale | 252000 | 3.23 |
| | | | | 12-Nov-2021 | -4000 | Sale | 248000 | 3.18 |
| | | | | 03-Dec-2021 | -4000 | Sale | 244000 | 3.12 |
| | | | | 24-Dec-2021 | 4000 | Purchase | 248000 | 3.18 |
| | | | | | | Balance | 248000 | 3.18 |
| 4 | JAY ARUNKUMAR NAIK | 244000 | 3.12 | | | | | |
| | | | | | | Balance | 244000 | 3.12 |
| 5 | DIGITEK INFOTECH PRIVATE LIMITED | 60000 | 0.77 | | | | | |
| | | | | 24-Dec-2021 | 88000 | Purchase | 148000 | 1.90 |
| | | | | | | Balance | 148000 | 1.90 |
| 6 | BHAVNABEN ARUNKUMAR NAIK | 144000 | 1.84 | | | | | |
| | | | | | | Balance | 144000 | 1.84 |
| 7 | PAULOMI JAY NAIK | 100000 | 1.28 | | | | | |
| | | | | | | Balance | 100000 | 1.28 |
| 8 | JAYSHRIBEN RAJENDRA PATHAK | 88000 | 1.13 | | | | | |
| | | | | | | Balance | 88000 | 1.13 |
| 9 | SWETA V SHAH | 60010 | 0.77 | | | | | |
| | | | | 26-Nov-2021 | 4000 | Purchase | 64010 | 0.82 |
| | | | | 17-Dec-2021 | -4000 | Sale | 60010 | 0.77 |
| | | | | 11-Mar-2022 | 8000 | Purchase | 68010 | 0.87 |
| | | | | | | Balance | 68010 | 0.87 |
| 10 | SAFFRON HITECH EQUIPMENT PRIVATE LIMITED | 0 | 0.00 | | | | | |
| | | | | 21-Jan-2022 | 24000 | Purchase | 24000 | 0.31 |
| | | | | 28-Jan-2022 | 36000 | Purchase | 60000 | 0.77 |
| | | | | 18-Mar-2022 | 8000 | Purchase | 68000 | 0.87 |
| | | | | | | Balance | 68000 | 0.87 |

(v) Shareholding of Directors & Key Managerial Personnel

| Sl. No | For Each of the Directors & KMP | Shareholding at the beginning of the year | | Cumulative Shareholding during the year | |
|--------|---------------------------------|---|----------------------------------|---|----------------------------------|
| | | No. of shares | % of total shares of the company | No of shares | % of total shares of the company |
| | Mr. Tushar Pandya Rohitbhai | | | | |
| | At the beginning of the year | 4004853 | 51.28 | 4004853 | 51.28 |

| | | | | | |
|--|---|---------|-------|---------|-------|
| | Date wise increase/decrease in Promoters Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc) | Nil | Nil | Nil | Nil |
| | At the end of the year | 4004853 | 51.28 | 4004853 | 51.28 |

| Sl. No | For Each of the Directors & KMP | Shareholding at the beginning of the year | | Cumulative Shareholding during the year | |
|--------|---|---|----------------------------------|---|----------------------------------|
| | | No. of shares | % of total shares of the company | No of shares | % of total shares of the company |
| | Mrs. Rupalben Tushar Pandya | | | | |
| | At the beginning of the year | 11,39,090 | 19.97 | 11,39,090 | 14.59 |
| | Date wise increase/decrease in Promoters Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc) | Nil | Nil | Nil | Nil |
| | At the end of the year | 11,39,090 | 19.97 | 11,39,090 | 14.59 |

| Sl. No | For Each of the Directors & KMP | Shareholding at the beginning of the year | | Cumulative Shareholding during the year | |
|--------|---|---|----------------------------------|---|----------------------------------|
| | | No. of shares | % of total shares of the company | No of shares | % of total shares of the company |
| | Rohitkumar Balvantrai Pandya | | | | |
| | At the beginning of the year | 60,000 | 0.77 | 60,000 | 0.77 |
| | Date wise increase/decrease in Promoters Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc) | Nil | Nil | Nil | Nil |
| | At the end of the year | 60,000 | 1.05 | 60,000 | 0.77 |

| Sl. No | For Each of the Directors & KMP | Shareholding at the beginning of the year | | Cumulative Shareholding during the year | |
|--------|---|---|----------------------------------|---|----------------------------------|
| | | No. of shares | % of total shares of the company | No of shares | % of total shares of the company |
| | Mrs. Sweta Vipinchandra Shah | | - | | - |
| | At the beginning of the year | 60010 | 0.77 | 60010 | 0.77 |
| | Date wise increase/decrease in Promoters Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc) | Purchase of 4000 Shares on 26/11/2021 Sale of 4000 Shares on 17/12/2021 Purchase of 8000 Shares on 11/03/2022 | | | |
| | At the end of the year | 68010 | 0.87 | 68010 | 0.87 |

V INDEBTEDNESS

| Indebtedness of the Company including interest outstanding/accrued but not due for payment | | | | |
|---|---|---------------------------|----------|------------------------------|
| | Secured Loans excluding Deposits (In lakhs) | Unsecured Loans(In lakhs) | Deposits | Total Indebtedness (In Lacs) |
| Indebtedness at the beginning of the financial year | | | | |
| i. Principal Amount | 94.5 | 641.83 | - | 736.33 |
| ii. Interest due but not paid | - | - | - | - |
| iii. Interest accrued but not due | - | - | - | - |
| TOTAL | 94.5 | 641.83 | - | 736.33 |
| Change in Indebtedness during Year | | | | |
| Additions | | | | |
| Reductions | 72.29 | 286.3 | - | 358.59 |
| Net Change | 72.29 | 286.3 | - | 358.59 |
| Indebtedness at the end of Year | | | | |
| i. Principal Amount | 22.21 | 355.53 | | 377.74 |
| ii. Interest due but not paid | - | - | - | - |
| iii. Interest accrued but not due | - | - | - | - |

| | | | | |
|-------------------------|--------------|---------------|--|---------------|
| Total (i+ii+iii) | 22.21 | 355.53 | | 377.74 |
|-------------------------|--------------|---------------|--|---------------|

VI REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole time director and/or Manager:

| Sl. No. | Particular of Remuneration | Name of MD/WTD/Manager | | | | | Total |
|---------|--|--|---|---|---|---|-------------|
| | | Managing Director Tushar Rohitbhai Pandya | Whole time Director Rupalben Tushar Pandya | Whole Time Director Rohitbhai Balvantbhai Pandya | | | |
| 1 | Gross Salary | 9960000 | 8496000 | 48,00,000 | - | - | 2,32,56,000 |
| | a) Salary as per provisions contained in Section 17(1) of Income Tax Act, 1961 | - | - | - | - | - | |
| | b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961 | - | - | - | - | - | |
| | c) Profit in lieu of salary u/s 17(3) of the Income Tax Act, 1961 | - | - | - | - | - | |
| 2 | Stock Option | - | - | - | - | - | |
| 3 | Sweat Equity | - | - | - | - | - | |
| 4 | Commission | - | - | - | - | - | |
| | Others, please specify | - | - | - | - | - | |
| | TOTAL | 9960000 | 8496000 | - | - | - | 2,32,56,000 |
| | Ceiling as per the Act (10% of Net Profit calculated as per section 198 of Companies | - | - | - | - | - | - |

| | | | | | | | |
|--|------------|--|--|--|--|--|--|
| | Act, 2013) | | | | | | |
|--|------------|--|--|--|--|--|--|

B. Remuneration to other directors: Nil

| Sl. No. | Particular of Remuneration | Name of Directors | | | Total Amount |
|---------|---|-------------------|--|--|--------------|
| 1 | Independent Directors | | | | |
| | a) Fees for attending Board and Committee meetings | | | | |
| | b) Commission | | | | |
| | c) Other, please specify | | | | |
| | TOTAL (1) | | | | |
| 2 | Other Non-Executive Directors | | | | |
| | a) Fees for attending Board and Committee meetings | | | | |
| | b) Commission | | | | |
| | c) Other, please specify (Retainership Fee- Professional capacity) | | | | |
| | TOTAL (2) | | | | |
| | TOTAL (1+2) | | | | |
| | Total managerial remuneration | | | | |
| | Ceiling as per the Act (10% of Net Profit calculated as per section 198 of Companies Act, 2013) | | | | |

C. Remuneration to Key Managerial Personnel other than MD/ WTD/ Manager

| Sl. No. | Particular of Remuneration | Name of Key Managerial Personnel | | | Total |
|---------|--|----------------------------------|--|--|-----------------|
| | | Sweta Vipinchandra Shah | | | 4,45,000 |
| | | Chief Financial Officer | | | |
| 1 | Gross Salary | 4,45,000 | | | |
| | a) Salary as per provisions contained in Section 17(1) of Income Tax Act, 1961 | | | | |
| | b) Value of perquisites | | | | |
| | c) Profit in lieu of salary | | | | |
| 2 | Stock Option | | | | |
| 3 | Sweat Equity | | | | |
| 4 | Commission | | | | |
| | Others, please specify | | | | |
| | TOTAL | 4,45,000 | | | 4,45,000 |

VII PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES - NA

| Type | Section of Companies Act | Brief Description | Details of Penalty/Punishment/Compounding fees imposed | Authority (RD/NCLT/Court) | Appeal made if any (give details) |
|-------------------|--------------------------|-------------------|--|---------------------------|-----------------------------------|
| A. Company | - | - | - | - | - |
| Penalty | - | - | - | - | - |
| Punishment | - | - | - | - | - |
| Compounding | - | - | - | - | - |
| | - | - | - | - | - |

| | | | | | |
|---------------------|---|---|---|---|---|
| B. Directors | - | - | - | - | - |
| Penalty | - | - | - | - | - |
| Punishment | - | - | - | - | - |
| Compounding | - | - | - | - | - |
| | - | - | - | - | - |
| | | - | - | - | - |
| Penalty | - | - | - | - | - |
| Punishment | - | - | - | - | - |
| Compounding | - | - | - | - | - |

Date: 02/09/2021

Place: Surat

For & on behalf of
Ascom Leasing & Investments Limited

Sd/-
Rupalben Tushar Pandya
Whole Time Director
DIN: 06396751

Sd/-
Tushar Rohitbhai Pandya
Whole Time Director
DIN: 03264783